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**MMISSION** 

SECURITING AND E

OMB APPROVAL

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DIVISION OF ILLUMBER REGULATION

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

FACING PAGE

| REPORT FOR THE PERIOD BEGINNING                 | 01/01/2006<br>MM/DD/YY                    | AND ENDING 1 | 2/31/2006<br>MM/DD/YY |  |
|---|---|--------------|-----------------------|--|
| A. RI   | GISTRANT IDENTIFICA                       | rion         | · <u>-</u> ,          |  |
| NAME OF BROKER-DEALER: SSMT S.                  | ECURITIES CORPORATION                     |              | OFFICIAL USE ONLY     |  |
| ADDRESS OF PRINCIPAL PLACE OF BU                | JSINESS: (Do not use P.O. Box 1           | No.)         | FIRM I.D. NO.         |  |
| 1570 Madruga Avenue, Suit                       | e 311                                     |              |                       |  |
|   | (No. and Street)                          |              |                       |  |
| Coral Gables                                    | Florida                                   | 33146        | √, W D √<br>155       |  |
| (City)  | (State)                                   | (Zip         | Code)                 |  |
| NAME AND TELEPHONE NUMBER OF William C. Sussman |   | 669-1191     |                       |  |
| B. AC   | COUNTANT IDENTIFICA                       | TION         |                       |  |
| INDEPENDENT PUBLIC ACCOUNTANT                   | whose opinion is contained in the         | s Report*    |                       |  |
| UNAUDITED                                       |   |              |                       |  |
|   | (Name - if individual, state last, first, | middle name) |                       |  |
| (Address)                                       | (City)                                    | (State)      | (Zip Code)            |  |
| CHECK ONE:                                      |   | gg           | OCESSED               |  |
| ☐ Certified Public Accountant                   |   |              |                       |  |
| ☐ Public Accountant                             |   | AR 1 2 2007  |                       |  |
| Accountant not resident in U                    | nited States or any of its possession     |              | THOMSON               |  |
|   | FOR OFFICIAL USE ONL                      | Υ            |                       |  |
|   |   |              |                       |  |

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

> Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1410 (06-02)

#### OATH OR AFFIRMATION

| l,                      | William C. Sussman   |                          | , swear (or affirm) that, to the best of                      |
|-------------------------|--|--------------------------|---|
| mv                      | knowledge and belief the accompanying financia   | l statement and s        | supporting schedules pertaining to the firm of                |
| •                       | SSMT SECURITIES CORPORATION  |                          | , as  |
| oľ                      | December 31  | 20 06                    | are true and correct. I further swear (or affirm) that        |
|                         |  |                          | director has any proprietary interest in any account          |
|                         |  |                          | director has any proprietary interest in any access           |
| clas                    | ssified solely as that of a customer, except as follows:   | )WS:                     |   |
|                         |  |                          |   |
|                         |  |                          |   |
|                         |  | <u></u> .                |   |
|                         |  |                          |   |
|                         |  |                          | 1 6 6 9 9 9 9   |
|                         | IRVIS MARRERO  | <u>,</u>                 | C/WKIN/IR/  |
|                         | Comm# DD0184898  |                          | Signature   |
|                         | Expires 2/18/2007  |                          |   |
|                         | Bonded thru (800)432-42 Florida Notary Assn. In  | <u></u>                  | President   |
|                         | The second secon | és <b>é</b>              | Title   |
|                         | Charles II   |                          |   |
|                         | Notati Public  |                          |   |
|                         | Notary Fuotic  |                          |   |
| Thi                     | is report ** contains (check all applicable boxes)   |                          |   |
| X                       | (a) Facing Page.   |                          |   |
| V                       | (b) Statement of Financial Condition.  |                          |   |
| $\overline{\Omega}$     | (c) Statement of Income (Loss).  |                          |   |
|                         | (d) Statement of Changes in Financial Conditio   | N.<br>Stanton Dontmana'a | r Cala Drangiators' Capital                                   |
|                         | <ul><li>(e) Statement of Changes in Stockholders' Equ</li><li>(f) Statement of Changes in Liabilities Subordi</li></ul>  | ny or Partners o         | of Craditors  |
|                         | (g) Computation of Net Capital.  | nated to Claims          | of Cicultois.   |
|                         | (h) Computation for Determination of Reserve   | Requirements Pt          | rsuant to Rule 15e3-3.  |
|                         | (i) Information Relating to the Possession or C  | ontrol Requirem          | ents Under Rule 15e3-3.                                       |
|                         | (i) A Reconciliation, including appropriate exp  | lanation of the Co       | omputation of Net Capital Under Rule 15c3-1 and the           |
|                         | Computation for Determination of the Rese  | rve Requirement          | s Under Exhibit A of Rule 15c3-3.                             |
|                         | (k) A Reconciliation between the audited and u   | naudited Stateme         | ents of Financial Condition with respect to methods of        |
|                         | consolidation.   |                          |   |
| $\overline{\mathbf{Q}}$ | (I) An Oath or Affirmation.  |                          |   |
|                         | (m) A copy of the SIPC Supplemental Report.  |                          | - found to have wisted since the date of the previous audit   |
|                         | (n) A report describing any material inadequacion  | s touna to exist o       | r found to have existed since the date of the previous audit. |

\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

### Securities Information Center

March 2, 1999

MAR - 0 1999 المالات

William C Sussman SSMT Securities Corporation 1570 Madruga Ave Ste 311 Coral Gables FL 33146

FINS #848323

Dear Mr. Sussman,

I have received your letter requesting exemption from the Lost & Stolen Securities Program. The exemption has been approved for one of the following reasons, the firm is:

- "1) A member of a national securities exchange who effects securities transactions through the trading facilities of the exchange and has not received or held customer securities within the last six months;
- 2) A reporting institution that, within the last six months, limited its securities activities exclusively to uncertificated securities, global securities issues or any securities issue for which neither record nor beneficial owners can obtain a negotiable securities; or
- 3) A reporting institution whose business activities, within the last six months, did not involve the handling of securities certificates.

A securities firm that claims an exemption from reg stration under this provision must realize, however, that if it accepts even one securities certificate for processing, even on an accommodation basis, it will be required to register with SIC and otherwise to participate in the program for at least six months."

Please be aware the NASD has the right to evoke this exemption at any time.

Sincerely,

Christopher P Casey

Client Services

Part 240 General Rules and Regulations, Securities Exchange Act 1934

P.O. BOX 9151

BOSTON, MA 02205-9151

G17.056.4910

G17.772.5198 FAX

A THOMSON FERENCIAL SERVICES COMPARY



## SECURITIES AND EXCHANGE COMMISSION WASHINGTON. D.C. 20549

February 29, 1996

Mr. William C. Sussman President SSMT Securities Corporation 1570 Madruga Avenue, Suite 311 Coral Gables, Florida 33146

Dear Mr. Sussman:

This is in response to your letter of February 12, 1996, on behalf of SSMT Securities Corporation ("SSMT"), in which you request an exemption from the requirement of filing audited financial statements pursuant to Rule 17a-5 (17 CFR § 240.17a-5).

I understand the pertinent facts to be as follows: SSMT is a registered broker-dealer. You have represented that the business of SSMT is limited to acting as broker (agent) only for Sunshine State Title & Trust Company ("SST&T"). SSMT does not sell any securities other than securities of SST&T.

Rule 17a-5(e)(1)(i) provides in its pertinent part that the financial statements filed pursuant to paragraph (d) need not be audited if, since the date of the previous financial statements filed pursuant to paragraph (d), the business of the broker or dealer has been limited to acting as broker (agent) for an issuer in soliciting subscriptions for securities of such issuer. In addition, such broker (agent) must have promptly transmitted to such issuer all funds and promptly delivered to the subscriber all securities received promptly delivered to the subscriber all securities received in connection therewith, and such broker must not have otherwise held funds or securities for or owed money or otherwise held funds or securities for or owed money or otherwise to customers. This exemption is self-operative and a broker or dealer who complies with the provisions therein need not apply for an exemption thereunder.

Sincerely.

Matthew G. McGuire Staff Attorney

MAR 5 1998

11:55 AM 01/04/07 Accrual Basis

#### **SSMT Securities Corporation Balance Sheet**

As of December 31, 2006

Dec 31, 06 ASSETS **Current Assets** Checking/Savings 17,289.39 01200 - Cartificate of Deposit 337.66 01220 - Interest Receivable CD 17,627.05 Total Checking/Savings 17,627.05 **Total Current Assets** Fixed Assets 01970 - Organizational Costs 01980A - Accum. Amort - Org. Costs -20,231.91 20,231.91 01970 - Organizational Costs - Other 0.00 Total 01970 - Organizational Costs 0.00 · Total Fixed Assets

17,627.05 TOTAL ASSETS LIABILITIES & EQUITY

Equity 05000 - Common Stock 05200 - Additional Paid in Capital 05500 - Retained Earnings Net Income **Total Equity** 

-16,569.87 437.76 17,627.05 17,627.05 TOTAL LIABILITIES & EQUITY

1,000.00

32,759.16

01/04/2001 INC 12:20 PAR SOISCOOPER BISIS ARE LER A F

11:55 AM 01/04/07 Accrual Basis

### SSMT Securities Corporation Profit & Loss December 2006

| •                              | Dec 06 | Jan - Dec 06 |
|--------------------------------|--------|--------------|
| Ordinary Income/Expense Income |        |              |
| 06600 - Interest Income        | 71.81  | 437.76       |
| Total Income                   | 71.81  | 437.76       |
| Net Ordinary Income            | 71.81  | 437.76       |
| Net Income                     | 71.81  | 437.76       |

12:05 PM 01/04/07 Accrual Basis

### SSMT Securities Corporation Profit & Loss

October through December 2006

|                         | Oct - Dec 06 | Jan - Dec 06 |
|-------------------------|--------------|--------------|
| Ordinary Income/Expense |              |              |
| 06600 - Interest Income | 212.26       | 437.76       |
| Total Income            | 212.26       | 437.76       |
| Net Ordinary Income     | 212.26       | 437.76       |
| Net Income              | 212,26       | 437.76       |

01/04/2007 The 14120. PhA derived delice means where  $\omega$ 

#### SSMT SECURITIES CORPORATION

## STATEMENT OF NET CAPITAL PURSUANT TO SEC RULE 15C3-1 AS OF 12/31/2006

| _ <del>_</del> | TOTAL ASSETS                          | \$ | 17,627 |
|----------------|---------------------------------------|----|--------|
|                | TOTAL LIABILITIES                     | \$ | •      |
|                | NET WORTH                             | \$ | 17,627 |
|                | SUBORDINATED LOANS                    | S  | -      |
|                | ADJUSTED NET WORTH                    | \$ | 17,627 |
|                | LESS NON-ALLOWABLE ASSETS             | \$ | -      |
|                | CURRENT CAPITAL                       | \$ | 17,627 |
|                | LESS HAIRCUTS                         | \$ | 413    |
|                | NET CAPITAL                           | \$ | 17,214 |
|                | REQUIRED NET CAPITAL                  | \$ | 5,000  |
|                | EXCESS NET CAPITAL                    | \$ | 12,214 |
| *.             | AGGREGATE INDEBTEDNESS                | \$ | -      |
|                | AGGREGATE INDEBTEDNESS TO NET CAPITAL |    | 0.00%  |

|   | HAIRCUT                                      | S CORPORATION<br>ANALYSIS  |             |   |
|---|--|--|-------------|---|
| <del>                                      </del> |  | 1/2006<br>  <u>                                  </u>  |             | *************************************** |
| POSITION:   |  |  |             |   |
| SECURITIES SUBJECT                                | T TO 40% HAIRCUT                             |  |             |   |
|   |  | LONG   |             | \$0                                     |
|   | TTO 400/ HAIDOUT                             | SHOR   | <u> </u>    | \$0                                     |
| SECURITIES SUBJECT                                | 1 TO 15% HAIRCUT                             | LONG   |             | \$0                                     |
|   |  | SHOR   | Τ           | \$0                                     |
|   | l-ray  |  | \$17,627    |   |
|   | TNO  | ;=<br>   | \$17,027    |   |
| HAIRCUTS:   |  |  |             |   |
| 1. 40% HAIRCUT                                    |  | LONG   |             | \$0                                     |
|   |  | SHOR   |             | \$0                                     |
| COUT ON   | THE ODERTED OF THE                           | LONG OR SHORT  |             |   |
| 2. 15% HAIRCUT ON                                 | THE GREATER OF THE                           | LONG OR SHORT  |             | \$0                                     |
| ,   |  | SHOR   |             | \$0_                                    |
| CYCCO HAIDOUT                                     |  |  |             |   |
| EXCESS HAIRCUT                                    |  |  |             | \$0                                     |
| THE LESSER OF LO                                  | NG OR SHORT LESS<br>ER OF THE LONG OR        | SHORT POSITION   |             | \$0<br>\$0                              |
| EXCESS  | EN OF THE LONG OR                            | SHORE TOOMS  |             | \$0_                                    |
|   |  |  |             | \$0                                     |
|   | Haircut @ 15%                                |  | m.          |   |
| UNDUE CONCENTRAT                                  | <u>ION:</u><br>.NY SECURITY > 500 SI         | HARES AND > \$10,00  | 00          |   |
| STOCK VALUE                                       | NORMAL H. C. UN                              | DUE CONC.  |             |   |
|   | 0%   | \$0  |             |   |
|   | 0%   | \$0  |             |   |
|   | 0%<br>0%                                     | <b>\$0</b><br>\$0  |             |   |
| 2.45  | Total Undue Concer                           |  |             | \$0                                     |
|   | Total Office                                 | THE CHAPTER STATE OF THE CHAPT | <del></del> |   |
| OTHER HAIRCUTS:                                   |  | turus 8/ Mates   |             |   |
| Description Penalty on CD                         | Value         Ha           \$         412.90 | ircut % Hairc  | 412.90      |   |
| OR  | 3 412.50                                     | 70070 2  |             |   |
| Maturity on CD<br>7/19/2008                       | \$ 17,627.05                                 | 1.500% \$  | 264.41      |   |
|   |  |  |             | 413                                     |
|   | Total Other Haircut                          |  |             | 710}                                    |
|   | Tr.  | TAL HAIRCUT  |             | \$413                                   |
|   |  |  |             |   |

#### SSMT SECURITIES CORPORATION

# SCHEDULE OF NON-ALLOWABLE ASSETS AS OF 12/31/2006

| ACCOUNT NAME           | AMOUNT |             |
|------------------------|--------|-------------|
| Organizational Costs   | \$     | -20,231.91  |
| Accum, Amort Org. Cost | \$     | (20,231.91) |

\$

TOTAL NON-ALLOWABLE ASSETS

1 3

#### SSMT SECURITIES CORPORATION

# SCHEDULE OF AGGREGATE INDEBTEDNESS AS OF 12/31/2006

ACCOUNT NAME AMOUNT

TOTAL AGGREGATE INDEBTEDNESS

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